TPT Wealth

Application Form

TPT Wealth Personal Application

Print clearly in capital letters using black or blue ink if completing this form manually. Place a cross X within the appropriate box when selecting an option. If insufficient space, please attach additional pages. Do not sign this application form unless all necessary sections have been fully and accurately completed.

You must ensure that:

(a) you have read the relevant Product Disclosure Statement (PDS) and any Target Market Determination (TMD) for the product as these documents contain important information about investing in the relevant Fund(s). You can access these on our website, tptwealth.com.au or request a copy free of charge by calling our Client Relations team on 1300 138 044.

(b) you have received this Application form and PDS in Australia. TPT Wealth will not accept an application from a person who we believe received the documents outside Australia.

Please note that by completing this application, a certified copy of identification will need to be provided for all individuals identified in Section B and attached to this application. Please see Section C page 2 & 3 for more information.

A INVESTMENT TYPE

☐ Individual ☐ Joint ☐ Other (eg minor or represented person)

B INVESTOR DETAILS

If there are more than two (2) applicants please provide their full details on a separate page. Please use legal names.

Investor 1

Title and Surname

Given Name(s)

Other Names known by

Date of Birth DD / MM / YYYY

Occupation

Country of Citizenship/s

Tax File Number or Exemption Reason

Please select from the below:

☐ Tax resident in Australia Only

☐ US Citizen or resident of the US for Tax Purposes*

☐ Tax resident of another country outside of Australia and US*

Tax Residence Country (if outside Australia)

Are you a politically exposed person? ☐ Yes ☐ No

A politically exposed person (PEP) is a person or immediate family member of a person, who holds a prominent public position or function in a government body or international organisation. PEP status is defined and regulated under Australia's Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth).

If yes, what is the position held

Investor 2 or beneficial owner (eg minor or represented person)

Title and Surname

Given Name(s)

Other Names known by

Date of Birth DD / MM / YYYY

Occupation

Country of Citizenship/s

Tax File Number or Exemption Reason

Please select from the below:

☐ Tax resident in Australia Only

☐ US Citizen or resident of the US for Tax Purposes*

☐ Tax resident of another country outside of Australia and US*

Tax Residence Country (if outside Australia)

Are you a politically exposed person? ☐ Yes ☐ No

A politically exposed person (PEP) is a person or immediate family member of a person, who holds a prominent public position or function in a government body or international organisation. PEP status is defined and regulated under Australia's Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth).

If yes, what is the position held

*** Please complete the FATCA and CRS Details form available from https://tptwealth.com.au/managed-funds/investor-portal/#investor-forms and submit with your Application Form.**

Authorisation for account changes and redemptions ☐ **Either to sign** ☐ **Both to sign**

B INVESTOR DETAILS CONTINUED

ADDRESS & CONTACT DETAILS

Investor 1

Residential Street Address

Suburb, State & Postcode

Country (if not Australia)

PO Box or postal address (if different to residential address)

Phone (business)

Mobile

Email

Investor 2

Residential Street Address

Suburb, State & Postcode

Country (if not Australia)

PO Box or postal address (if different to residential address)

Phone (business)

Mobile

Email

KEEPING YOU INFORMED (MANDATORY)

Secure website access

Access to TPT Wealth's secure website (Secure Portal) enables you to view your account details online, including your current valuation and transaction history as well as access your statements. Please note you will need to provide your email address in the contact details above in order to register for access. Joint investors are required to register separately. Once your application has been processed and your account is set-up, you will receive the necessary login details.

Method of communication

We prefer to communicate with you via the TPT Wealth (Secure Portal).

Each time we communicate with you this way we will email you first. If you have a joint account we will only send emails to the address you notify us below, however all account holders can register for the Secure Portal. For correspondence that is not required to be secure we will send this to your nominated email address.

Alternatively, you may elect to receive communications from us by post. If you do not make an election below and you have provided us with your email address in Section B, you agree to receive all communications via the default option of the Secure Portal.

Please indicate your preference below by ticking one of the following boxes:

☐ **Email & Secure Portal (default)**
I consent to receive all investor correspondence via the Secure Portal with notification via the email address provided in Section B or via email where correspondence is not required to be secure. I acknowledge that I can only access my statements via the TPT Wealth (Secure Portal) for which I will be required to register.
Joint applicants only – indicate email address for communication

☐ **Post – I elect to receive all investor correspondence by post to the address provided in Section B.**

Financial reports

The Annual Financial Report for the TPT Wealth Income Funds is available on our website at www.tptwealth.com.au/reports-disclosures

Investment and marketing updates

☐ I would like to receive from time to time investment and market updates as well as any updates on TPT Wealth products and services. This will be in addition to regular account statements and notifications. You may opt out of marketing at any stage by contacting TPT Wealth.

C VERIFYING YOUR IDENTITY (MANDATORY)

To enable us to comply with the Australian Anti-Money Laundering and Counter-Terrorism Financing Laws and other regulatory requirements, TPT Wealth is required to collect and verify information about your identity before providing services to you.

Please DO NOT send original documents. Send only certified copies of original documents as documents will not be returned.

How to certify your documents
A list of who can certify your documents is available at https://tptwealth.com.au/managed-funds/investor-portal/#investor-forms

On the first page of the copy of the original document, the acceptable independent certifier must complete in writing the following information:

> I ***<insert name>*** of, ***<insert address>***, ***<insert occupation>***, certify on this ***<insert day>*** day of ***<insert month and year>*** that this document is a complete and accurate copy of the original document sighted by me.
>
> Signed ***<insert signature> <insert contact number>***

The date on the certification should be no longer than 12 months when it is received by us.

C VERIFYING YOUR IDENTITY (MANDATORY) CONTINUED

Please attach at least one certified document from the list below:

Select one	Primary photographic identity documents
☑	Driver's licence or permit under a State/Territory government or an equivalent authority of a foreign country
☐	Australian passport (that is current or expired within the last two years)
☐	Foreign passport or other international travel document that has a photograph and signature of the individual
☐	Proof of age card issued by a State/Territory government
☐	National identity card issued by a foreign government that has a photograph and signature of the individual

If investing for a minor or represented person, please also provide:

☐	A birth certificate or extract issued by a state or territory

If you cannot provide any of the above then please contact our Client Relations team on 1300 138 044 for further information.

D INVESTMENT DETAILS

Please select the fund(s) you wish to invest in and include the Australian dollar amount you wish to invest in the table below. You may elect to receive distributions as cash or reinvest them as additional units in the fund(s). Please indicate your preference below. If you do not select a distribution method, distributions will be reinvested in the fund(s) from which the distribution was made.

Fund Name	ARSN	Amount	Distribution option	
			Reinvest	Deposit in nominated account
TPT At Call Fund	093 458 336	$	☐	☐
TPT Fixed Term Fund	093 458 256	$	☐	☐
TPT Long Term Fund	093 255 791	$	☐	☐
TPT Select Mortgage Fund	089 139 382	$	☐	☐

Please indicate the purpose of your investment:

Electronic funds transfer payable to:
Please transfer funds, with your investor name as a reference, at the same time you post your application to avoid delays in the account opening process.

Account Name:	TPT Wealth Funds Application Account
BSB:	062-000
Account Number:	17257060
Reference:	<Your Name>

E SOURCE OF FUNDS

Source of Funds

This is the main way you earn the money that goes into your investment account. e.g. employment (including job/salary/wages/self-employed), government payments like pensions, investments – dividends/rental income etc. Please select the most relevant one to your circumstances.

- ☐ Employment
- ☐ Dividends or income – business
- ☐ Pension / social benefits (Centrelink payment)
- ☐ Investments / superannuation account
- ☐ Grant / scholarship / subsidy
- ☐ Spouse or partner / parent or guardian
- ☐ Family trust or inheritance
- ☐ Sale of property
- ☐ Donation or gift
- ☐ Divorce settlement
- ☐ Insurance settlement
- ☐ Royalties
- ☐ Lottery win or gambling
- ☐ Other, please specify

Source of Wealth

This is the source of income that has primarily helped you pay for your assets such as a property, car, shares etc., e.g. salary/wages/income from employment, sale of property or business, inheritance etc. Please select the most relevant one to your circumstances.

- ☐ Employment
- ☐ Dividends or income – business
- ☐ Pension / social benefits (Centrelink payment)
- ☐ Investments / superannuation account
- ☐ Grant / scholarship / subsidy
- ☐ Spouse or partner / parent or guardian
- ☐ Family trust or inheritance
- ☐ Sale of property
- ☐ Donation or gift
- ☐ Divorce settlement
- ☐ Insurance settlement
- ☐ Royalties
- ☐ Lottery win or gambling
- ☐ Other, please specify

F PRODUCT SUITABILITY (MANDATORY)

This section should be completed by all applicants based on the product you are applying for. Please choose only one answer for each question. Where the product will form part of a portfolio you should answer these questions for the relevant portion the product will be in the portfolio notwithstanding what the risk/return profile of the portfolio or consumer as a whole is. In making this assessment, you should consider all features of the product. For further information on these questions see the Target Market Determination available at tptwealth.com.au/reports-disclosures

Have you received personal financial advice from a licensed financial adviser in relation to this investment?	☐ Yes ☐ No
If so, did your financial adviser consider you to be within the Target Market Determination (TMD) for the product(s) you are investing in?	☐ Yes ☐ No ☐ Not Applicable
What is your primary investment objective?	☐ Capital Growth ☐ Capital Preservation ☐ Capital Guaranteed ☐ Income Distribution
What is your intended investment timeframe?	☐ Short (≤ 2 years) ☐ Medium (> 2 years) ☐ Long (> 8 years)
What do you anticipate your withdrawal needs may be?	☐ Daily ☐ Weekly ☐ Monthly ☐ Quarterly ☐ Annually or longer
In relation to this investment, which risk and return profile best describes you?	☐ **Low risk and return:** You are looking for an investment that is low risk in nature e.g. you have the ability to tolerate up to 1 negative return over a 20 year period and you are comfortable with a low target return from this investment. ☐ **Medium risk and return:** You are looking for an investment that is moderate or medium risk in nature e.g. you have the ability to tolerate up to 4 negative returns over a 20 year period and you are comfortable with a moderate target return from this investment. ☐ **High risk and return:** You are looking for an investment that is high risk in nature e.g. you have the ability to tolerate up to 6 negative returns over a 20 year period in order to achieve a higher target return from this investment. ☐ **Very high risk and return:** You are looking for an investment that is very high risk in nature e.g. you have the ability to tolerate 6 or more negative returns over a 20 year period as you are seeking to maximise returns and you can accept higher potential losses.
What is your intended use of this investment in your investment portfolio?	What percentage of your total investable assets are you directing to this fund – that is the total assets you have available for investment, excluding your residential home? ☐ Solution/Standalone (75-100%) ☐ Core Component (25-75%) ☐ Satellite/small allocation (<25%)

G BANK ACCOUNT DETAILS

The bank account details you provide below will be held on record and maintained to pay any future redemption proceeds and income distributions (if applicable).

This account must be an Australian Authorised Deposit-taking Institution (ADI) and must be in the name of the investor (or investors if more than one) as we will not pay to a third party or offshore bank account. Please check these details carefully as it is your responsibility to ensure all payee account details are correct. Incorrect details may result in a loss of funds and we do not guarantee their recovery. We do not accept liability for funds which are unable to be recovered.

Account Name		Bank / Branch	
Account Number		BSB	

H TERMS AND CONDITIONS

By signing this application the investor acknowledges and confirms that they:

- Are 18 years of age or over (otherwise applications must be made in the name of parent/guardian and signed by parent guardian).
- Received an electronic or paper copy of the PDS and Additional Information Booklet (if applicable) before or at the same time as you received this Application Form and have read and understood the PDS and Additional Information Booklet (if applicable) to the relevant Fund(s) to which this Application Form relates.
- Agree to be bound by the terms and conditions of the PDS, Additional Information Booklet, this Application Form and the terms of the Constitution of the relevant Fund(s) in which you are invested (which may be amended from time to time).
- Acknowledge that TPT Wealth reserves the right to refuse an application for units or interests at its discretion.
- Acknowledge that neither TPT Wealth nor any other person guarantees the return of capital, or the performance of any Fund.
- Acknowledge that telephone conversations with TPT Wealth may be recorded.
- Authorise TPT Wealth to apply the Tax File Number quoted to all investments in the name of the investor.
- Authorise TPT Wealth to collect, hold, use and disclose personal information about the investor in accordance with TPT Wealth's Privacy Policy including direct marketing.
- Confirm that they have the proper authority as detailed in the signatories terms and conditions section of the PDS, Additional Information Booklet and Application Form.

Signatures

By signing below, this document is executed by you as a deed.

Investor type	Who should sign
Individual	Where the investment is in one name, the investor must sign.
Joint investors	Where the investment is in more than one name, all investors must sign or unless otherwise authorised.
Power of Attorney	If signed under Power of Attorney, please include with this application a certified copy of the Power of Attorney and a certified copy of photographic identification for the Attorney e.g. driver's licence or passport.

Investor Signature 1

Name

Date

DD / MM / YYYY

Investor Signature 2

Name

Date

DD / MM / YYYY

Once complete, please return this form to

TPT Wealth Limited Unit Registry
C/- LINK Market Services Limited
Locked Bag 5038
Parramatta NSW 2124

CHECKLIST

Before sending this form, please ensure you have:

- ☐ Completed all sections of the application
- ☐ Signed and dated the form (section H)
- ☐ Attached your certified identification documents (section C)
- ☐ Transferred your initial investment application monies
- ☐ If required, completed the FATCA and CRS Details Form (section B)